

Non-Examination Assessment Policy 2020-2021

Date reviewed: December 2020 **Next review:** December 2021

This Policy is founded within our School ethos which provides a caring, friendly and safe environment for all members of our community.

KEY STAFF INVOLVED IN THE GENERAL DATA PROTECTION REGULATION POLICY

Role	Name[s]
Head of Centre	Ranjit Berdesha
Quality assurance lead/Lead internal verifier	Ingrid Hall / Yusra Mohamed [Lead Internal Verifier]
Senior leader[s]	Michael O'Hagan, Margot Currie, Ronald Regnier, Michelle Stone, Beth Hart, Ingrid Hall, Matt Clayton
SENCo	Michelle Stone
Exams officer	Nick Rawson

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WHAT DOES THIS POLICY AFFECT?

This Policy affects the delivery of subjects of GCE and GCSE qualifications which contain a component[s] of non-examination assessment.

The regulator's definition of an examination is very narrow. In effect, any type of assessment that is not 'externally set and taken by candidates at the same time under controlled conditions' is classified as Non-Examination Assessment [NEA]. 'NEA' therefore includes, but is not limited to, internal assessment. Externally marked and/or externally set practical examinations taken at different times across **Centres** are classified as 'NEA'.

[JCQ Instructions for conducting Non-Examination Assessments, Foreword]

This publication is further referred to in this policy as NEA

Purpose of the Policy

The purpose of this Policy, as defined by JCQ, is to

- cover procedures for planning and managing Non-Examination Assessments
- define staff roles and responsibilities with respect to Non-Examination Assessments
- manage risks associated with Non-Examination Assessments.

The Policy will need to cover all types of non-examination assessment. [NEA 1]

What are Non-Examination Assessments?

Non-Examination Assessments measure subject-specific knowledge and skills that cannot be tested by timed written papers.

There are three assessment stages and rules which apply to each stage. These rules often vary across subjects. The stages are:

- task setting
- task taking
- task marking. [NEA 1]

PROCEDURES FOR PLANNING AND MANAGING NON-EXAMINATION ASSESSMENTS IDENTIFYING STAFF ROLES AND RESPONSIBILITIES

The Basic Principles

Head of Centre

- Returns a declaration [managed as part of. the National Centre Number Register annual update] to confirm awareness of, and that relevant Centre staff are adhering to, the latest version of NEA
- Ensures the Centre's Non-Examination Assessment Policy is fit for purpose
- Ensures the Centre's Internal Appeals Procedures clearly detail the procedure to be followed by candidates [or their parents/carers] appealing against internal assessment decisions [Centre assessed marks] and requesting a review of the Centre's marking.

Senior Leaders

- Ensure the correct conduct of Non-Examination Assessments [including endorsements] which comply with NEA and awarding body subject-specific instructions
- Ensure the Centre-wide calendar records assessment schedules by the start of the academic year.

Quality assurance [QA] lead/Lead internal verifier

- Confirms with subject heads that appropriate awarding body forms and templates for Non-Examination Assessments [including endorsements] are used by teachers and candidates
- Ensures appropriate procedures are in place to internally standardise/verify the marks awarded by Subject Teachers in line with awarding body criteria
- Ensures appropriate Centre-devised templates are provided to capture/record relevant information given to candidates by Subject Teachers
- Ensures appropriate Centre-devised templates are provided to capture/record relevant information is received and understood by candidates
- Where not provided by the awarding body, ensures a Centre-devised template is provided for candidates to keep a detailed record of their own research, planning, resources etc.

Curriculum Leader

- Ensures Subject Teachers understand their role and responsibilities within the Non-Examination Assessment process
- Ensures <u>NEA</u> and relevant awarding body subject specific instructions are followed in relation to the conduct of Non-Examination Assessments [including endorsements]
- Works with the QA lead/Lead internal verifier to ensure appropriate procedures are followed to internally standardise/verify the marks awarded by Subject Teachers.

Subject teacher

- Understands and complies with the general instructions as detailed in NEA
- Where these may also be provided by the awarding body, understands and complies with the awarding body's specification for conducting Non-Examination Assessments, including any subject-specific instructions, teachers' notes or additional information on the awarding body's website
- Marks internally assessed work to the criteria provided by the awarding body
- Ensures the Exams Officer is provided with relevant entry codes for subjects [whether the entry for the internally assessed component forms part of the overall entry code for the qualification or is made as a separate unit entry code] to the internal deadline for entries.

Exams Officer

- Signposts the annually updated JCQ publication NEA to relevant Centre staff
- Carries out tasks where these may be applicable to the role in supporting the administration/management of Non-Examination Assessment.

Task Setting

Subject Teacher

- Selects tasks to be undertaken where a number of comparable tasks are provided by the awarding body OR designs tasks where this is permitted by criteria set out within the subject specification
- Makes candidates aware of the criteria used to assess their work.

Issuing of Tasks

- Determines when set tasks are issued by the awarding body
- Identifies date[s] when tasks should be taken by candidates

 Accesses set tasks in sufficient time to allow planning, resourcing and teaching and ensures that materials are stored securely at all times.

Task Taking

Supervision

Subject Teacher

- Checks the awarding body's subject-specific requirements ensuring candidates take tasks under the required conditions and supervision arrangements
- Ensures there is sufficient supervision to enable the work of a candidate to be authenticated
- Ensures there is sufficient supervision to ensure the work a candidate submits is their own
- Is confident where work may be completed outside of the **Centre** without direct supervision, that the work produced is the candidate's own
- Where candidates may work in groups, keeps a record of each candidate's contribution
- Ensures candidates are aware of the current JCQ documents <u>Information for candidates</u> Non-Examination Assessments and Information for candidates Social Media
- Ensures candidates understand and comply with the regulations in relevant JCQ documents Information for candidates.

Advice and Feedback

Subject Teacher

- As relevant to the subject/component, advises candidates on relevant aspects before candidates begin working on a task
- Will not provide candidates with model answers or outlines/headings specific to the task
- When reviewing candidates' work, unless prohibited by the specification, provides oral and written advice at a general level to candidates
- Allows candidates to revise and re-draft work after advice has been given at a general level
- Records any assistance given beyond general advice and takes it into account in the marking or submits it to the external examiner
- Ensures when work has been assessed, candidates are not allowed to revise it.

Resources

- Refers to the awarding body's specification and/or associated documentation to determine if candidates have restricted/unrestricted access to resources when planning and researching their tasks
- Ensures conditions for any formally supervised sessions are known and put in place
- Ensures appropriate arrangements are in place to keep the work to be assessed, and any preparatory work, secure between any formally supervised sessions, including work that is stored electronically
- Ensures conditions for any formally supervised sessions are understood and followed by candidates
- Ensures candidates understand that they are not allowed to introduce improved notes or new resources between formally supervised sessions
- Ensures that where appropriate to include references, candidates keep a detailed record of their own research, planning, resources etc.

Word and Time Limits

Subject Teacher

• Refers to the awarding body's specification to determine where word and time limits apply/are mandatory.

Collaboration and Group Work

Subject Teacher

- Unless stated otherwise in the awarding body's specification, and where appropriate, allows candidates to collaborate when carrying out research and preparatory work
- Ensures that it is possible to attribute assessable outcomes to individual candidates
- Ensures that where an assignment requires written work to be produced, each candidate writes up their own account of the assignment
- Assesses the work of each candidate individually.

Authentication Procedures

Subject Teacher

- Where required by the awarding body's specification
 - o ensures candidates sign a declaration confirming the work they submit for final assessment is their own unaided work
 - o signs the teacher declaration of authentication confirming the requirements have been met
- Keeps signed candidate declarations on file until the deadline for requesting reviews
 of results has passed or until any appeal, malpractice or other results enquiry has been
 completed, whichever is later
- Provides signed candidate declarations where these may be requested by a JCQ Centre Inspector
- Where there may be doubt about the authenticity of the work of a candidate or if malpractice is suspected, follows the authentication procedures and malpractice information in NEA and informs a member of the Senior Leadership Team
- Understands that if, during the external moderation process, it is found that the work has not been properly authenticated, the awarding body will set the mark[s] awarded by the Centre to zero.

Presentation of Work

- Obtains informed consent at the beginning of the course from parents/carers if videos or photographs/images of candidates will be included as evidence of participation or contribution
- Instructs candidates to present work as detailed in <u>NEA</u> unless the awarding body's specification gives different subject-specific instructions
- Instructs candidates to add their candidate number, Centre number and the component code of the assessment as a header/footer on each page of their work.

Keeping Materials Secure

Subject Teacher

- When work is being undertaken by candidates under formal supervision, ensures work is securely stored between sessions [if more than one session]
- When work is submitted by candidates for final assessment, ensures work is securely stored
- Follows secure storage instructions as defined in NEA 4.8
- Takes sensible precautions when work is taken home for marking
- Stores internally assessed work, including the sample returned after awarding body moderation, securely until all possible post-results services have been exhausted
- If post-results services have not been requested, returns internally assessed work to candidates [if requested by a candidate] after the deadline for requesting a review of results for the relevant series
- If post-results services have been requested, returns internally assessed work to candidates [if requested by a candidate] once the review of results and any subsequent appeal has been completed
- Reminds candidates of the need to keep their own work secure at all times and not share completed or partially completed work on-line, on social media or through any other means [Reminds candidates of the contents of the JCQ document Information for candidates - Social Media]
- Where work is stored electronically, liaises with the IT Manager to ensure the protection and back-up of candidates' work and that appropriate arrangements are in place to restrict access to it between sessions
- Understands that during the period from the submission of work for formal
 assessment until the deadline for requesting a review of results, copies of work may
 be used for other purposes, provided that the originals are stored securely as
 required.

IT Manager

- Ensures appropriate arrangements are in place to restrict access between sessions to candidates' work where work is stored electronically
- Restricts access to this material and utilises appropriate security safeguards such as firewall protection and virus scanning software
- Employs an effective back-up strategy so that an up to date archive of candidates' evidence is maintained
- Considers encrypting any sensitive digital media to ensure the security of the data stored within it and refers to awarding body guidance to ensure that the method of encryption is suitable.

Task Marking - Externally Assessed Components

Conduct of Externally Assessed Work

Subject Teacher

- Liaises with the Exams Officer regarding the arrangements for any externally assessed components of a specification which must be conducted within a window of dates specified by the awarding body and according to JCQ Instructions for conducting examinations
- Liaises with the Visiting Examiner where this may be applicable to any externally assessed component.

Exams Officer

- Arranges timetabling, rooming and invigilation where and if this is applicable to any
 externally assessed non-examination component of a specification
- Conducts the externally assessed component within the window specified by the awarding body and according to JCQ Instructions for conducting examinations.

Submission of work

Subject Teacher

• Provides the attendance register to a Visiting Examiner.

Exams Officer

- Provides the attendance register to the subject teacher where the component may be assessed by a Visiting Examiner
- Ensures the awarding body's attendance register for any externally assessed component is completed correctly to show candidates who are present and any who may be absent
- Where candidates' work must be despatched to an awarding body's examiner, ensures the completed attendance register accompanies the work
- Keeps a copy of the attendance register until after the deadline for reviews of results for the exam series
- Packages the work as required by the awarding body and attaches the examiner address label
- Ensures that the package in which the work is despatched is robust and securely fastened
- Despatches the work to the awarding body's instructions by the required deadline.

Task Marking - Internally Assessed Components

Marking and Annotation

Head of Centre

• Ensures where a teacher is teaching, preparing and assessing a candidate with whom they have a close relationship e.g. members of their family [which includes step-family, foster family and similar close relationships] or close friends and their immediate family [e.g. son/daughter] a conflict of interest is declared to the awarding body and the marked work of the child submitted for moderation, whether it is part of the moderation sample or not.

Curriculum Leader

• Sets timescales for teachers to inform candidates of their centre-assessed marks that will allow sufficient time for a candidate to appeal an internal assessment decision/request a review of the Centre's marking prior to the marks being submitted to the awarding body external deadline.

- Accesses awarding body training/updates as required to ensure familiarity with the mark scheme/marking process
- Marks candidates' work in accordance with the marking criteria provided by the awarding body
- Annotates candidates' work as required to facilitate internal standardisation of marking and enable external moderation to check that marking is in line with the assessment criteria
- Informs candidates of their marks which could be subject to change by the awarding body moderation process

• Ensures candidates are informed to the timescale set by the Curriculum Leader or as indicated in the Centre's *Internal Appeals Procedure* to enable an internal appeal/request for a review of marking to be submitted by a candidate and the outcome known before final marks are submitted to the awarding body.

INTERNAL STANDARDISATION

Quality Assurance [QA] Lead/Lead Internal Verifier

- Ensures that internal standardisation of marks across assessors and teaching groups takes place as required and to sequence
- Supports staff not familiar with the mark scheme [e.g. NQTs, supply staff etc.]
- Ensures accurate internal standardisation for example by
 - o obtaining reference materials at an early stage in the course
 - o holding a preliminary trial marking session prior to marking
 - o carrying out further trial marking at appropriate points during the marking period
 - o after most marking has been completed, holds a further meeting to make final adjustments
 - making final adjustments to marks prior to submission retaining work and evidence of standardisation
- Retains evidence that internal standardisation has been carried out.

Subject teacher

- Indicates on work [or cover sheet] the date of marking
- Marks to common standards
- Keeps candidates work secure until after the closing date for review of results for the series concerned or until any appeal, malpractice or other results enquiry has been completed, whichever is later

CONSORTIUM ARRANGEMENTS

Curriculum Leader

- Ensures a Consortium Co-ordinator is nominated [where this may be required as the Consortium Lead]
- If the Consortium Lead, liaises with the **Exams Officer** to ensure the relevant awarding body is informed that the Centre is part of a consortium by submitting Form JCQ/CCA Centre consortium arrangements for centre-assessed work for each exam series affected
- Ensures procedures for internal standardisation as a consortium are followed.

Subject Teacher

- Provides marks to the **Exams Officer** to the internal deadline
- Provides the moderation sample to the Exams Officer to the internal deadline
- Retains all candidates' work in the consortium until after the deadline for reviews of results for the exam series concerned or until any appeal, malpractice or other results enquiry has been completed, whichever is later.

Exams Officer

- Where the Centre is the Consortium Lead
 - o submits an online notification of Centre consortium arrangements for Centreassessed work to the relevant awarding body through the Centre Admin Portal [CAP] by no later than the published deadline for each exam series affected
 - submits marks for home Centre candidates to the awarding body deadline
 - o liaises with the other exams officers in the consortium to arrange despatch of a single moderation sample to the awarding body deadline

SUBMISSION OF MARKS AND WORK FOR MODERATION

Subject Teacher

- Inputs and submits marks online, via the awarding body secure extranet site, keeping a record of the marks awarded, to the external deadline/Provides marks to the Exams Officer to the internal deadline
- Where responsible for marks input, ensures checks are made that marks for any additional candidates are submitted and ensures mark input is checked before submission to avoid transcription errors
- Submits the requested samples of candidates' work to the awarding body moderator by the external deadline, keeping a record of the work submitted/Provides the moderation sample to the Exams Officer to the internal deadline
- Ensures that where a candidate's work has been facilitated by a scribe or practical assistant, the relevant completed cover sheet is securely attached to the front of the work and sent to the moderator in addition to the sample requested
- Ensures the moderator is provided with authentication of candidates' work, confirmation that internal standardisation has been undertaken and any other subject-specific information where this may be required
- Submits any supporting documentation required by the awarding body/Provides the Exams Officer with any supporting documentation required by the awarding body.

Exams Officer

- Inputs and submits marks online, via the awarding body secure extranet site, keeping a record of the marks submitted, to the external deadline/confirms with Subject Teachers that marks have been submitted to the awarding body deadline
- Where responsible for marks input, ensures checks are made that marks for any additional candidates are submitted and ensures mark input is checked before submission to avoid transcription errors
- Submits the requested samples of candidates' work to the moderator by the awarding body deadline, keeping a record of the work submitted/confirms with Subject Teacher that the moderation sample has been submitted to the awarding body deadline
- Ensures that for postal moderation
 - o work is dispatched in packaging provided by the awarding body
 - o moderator label[s] provided by the awarding body are affixed to the packaging
 - proof of dispatch is obtained and kept on file until the successful issue of final results
- Through the Subject Teacher, ensures the moderator is provided with authentication of candidates' work, confirmation that internal standardisation has been undertaken and any other subject-specific information where this may be required
- Through the Subject Teacher, submits any supporting documentation required by the awarding body.

STORAGE AND RETENTION OF WORK AFTER SUBMISSION OF MARKS

Subject Teacher

- Keeps a record of names and candidate numbers for candidates whose work was included in the moderation sample
- Retains all marked candidates' work [including any sample returned after moderation] under secure conditions for the required retention period
- In liaison with the IT Manager, takes steps to protect any work stored electronically from corruption and has a back-up procedure in place
- If retention is a problem because of the nature of the work, retains some form of evidence such as photos, audio or media recordings.

Exams Officer

• Ensures any sample returned after moderation is logged and returned to the Subject Teacher for secure storage and required retention.

EXTERNAL MODERATION - THE PROCESS

Subject Teacher

- Ensures that awarding body or its moderator receive the correct samples of candidates' work
- Where relevant, liaises with the awarding body/moderator where the moderator visits the Centre to mark the sample of work
- Complies with any request from the moderator for remaining work or further evidence of the Centre's marking.

External Moderation - Feedback

Curriculum Leader

- Checks the final moderated marks when issued to the Centre when the results are published
- Checks moderator reports and ensures that any remedial action, if necessary, is undertaken before the next exam series.

Exams Officer

- Accesses or signposts moderator reports to relevant staff
- Takes remedial action, if necessary, where feedback may relate to Centre administration.

Access Arrangements

Subject Teacher

• Works with the SENCo to ensure any Access Arrangements for eligible candidates are applied to assessments.

Special Educational Needs Coordinator [SENCo]

- Follows the regulations and guidance in the JCQ publication <u>Access Arrangements and Reasonable Adjustments</u> in relation to Non-Examination Assessments including <u>Reasonable Adjustments for GCE A-level sciences Endorsement of practical skills</u>
- Where arrangements do not undermine the integrity of the qualification and is the candidate's normal way of working, will ensure Access Arrangements are in place and awarding body approval, where required, has been obtained prior to assessments taking place
- Makes Subject Teachers aware of any Access Arrangements for eligible candidates which need to be applied to assessments
- Works with Subject Teachers to ensure requirements for Access Arrangement candidates requiring the support of a facilitator in assessments are met
- Ensures that staff acting as an access arrangement facilitator are fully trained in their role.

Special Consideration and Loss of Work

Subject Teacher

 Understands that a candidate may be eligible for special consideration in assessments in certain situations where a candidate is absent and/or produces a reduced quantity of work

- Liaises with the Exams Officer when special consideration may need to be applied for a candidate taking assessments
- Liaises with the Exams Officer to report loss of work to the awarding body.

Exams Officer

- Refers to/directs relevant staff to the JCQ publication <u>A guide to the special</u> consideration process
 - Where a candidate is eligible, submits an application for special consideration via the awarding body's secure extranet site to the prescribed timescale
 - Where application for special consideration via the awarding body's secure extranet site is not applicable, submits the required form to the awarding body to the prescribed timescale
 - Keeps required evidence on file to support the application
- Refers to/directs relevant staff where applicable to <u>Form 15 JCQ/LCW</u> and where applicable submits to the relevant awarding body

Malpractice

Head of Centre

- Understands the responsibility to immediately report to the relevant awarding body any alleged, suspected or actual incidents of malpractice involving candidates, teachers, invigilators or other administrative staff
- Is familiar with the JCQ publication <u>Suspected Malpractice</u>: <u>Policies and Procedures</u>
- Ensures that those members of teaching staff involved in the direct supervision of candidates producing Non-Examination Assessment are aware of the potential for malpractice and ensures that teaching staff are reminded that failure to report allegations of malpractice or suspected malpractice constitutes malpractice in itself

Subject Teacher

- Is aware of the JCQ <u>Notice to Centres Sharing NEA material and candidates' work to mitigate against candidate and Centre malpractice</u>
- Ensures candidates understand what constitutes malpractice in Non-Examination Assessments
- Ensures candidates understand the JCQ document <u>Information for candidates Non-Examination Assessments</u>
- Ensures candidates understand the JCQ document <u>Information for candidates Social</u> <u>Media</u>
- Escalates and reports any alleged, suspected or actual incidents of malpractice involving candidates to the head of Centre.

Exams Officer

- Signposts the JCQ publication <u>Suspected Malpractice</u>: <u>Policies and Procedures</u> to the head of Centre
- Signposts the JCQ <u>Notice to Centres Sharing NEA material and candidates' work</u> to subject heads
- Signposts candidates to the relevant JCQ information for candidates' documents
- Where required, supports the head of Centre in investigating and reporting incidents of alleged, suspected or actual malpractice.

Post-Results Services

Head of Centre

- Is familiar with the JCQ publication <u>Post-Results Services</u>
- Ensures the Centre's *internal appeals procedures* clearly detail the procedure to be followed by candidates [or their parents/carers] appealing against a Centre decision not to support a review of results or an appeal.

Curriculum Leader

 Provides relevant support to Subject Teachers making decisions about reviews of results.

Subject Teacher

- Provides advice and guidance to candidates on their results and the post-results services available
- Provides the Exams Officer with the original sample or relevant sample of candidates' work that may be required for a review of moderation to the internal deadline

Exams Officer

- Is aware of the individual post-results services available for externally assessed and internally assessed components of Non-Examination Assessments as detailed in the JCQ publication Post-Results Services [Information and guidance to Centres...]
- Provides/signposts relevant Centre staff and candidates to post-results services information
- Ensures any requests for post-results services that are available to Non-Examination Assessments are submitted online via the awarding body secure extranet site to deadline
- Collects candidate consent where required.

PRACTICAL SKILLS ENDORSEMENT FOR THE A LEVEL SCIENCES DESIGNED FOR USE IN ENGLAND

Head of Centre

- Returns an online 'Head of Centre declaration' at the time of the National Centre Number Register annual update confirming that all reasonable steps have been or will be taken to ensure that all candidates at the Centre have had, or will have, the opportunity to undertake the prescribed practical activities
- Ensures new lead teachers undertake the required training provided by the awarding body on the implementation of the practical endorsement
- Ensures relevant Centre staff liaise with all relevant parties in relation to arrangements for and conduct of the monitoring visit.

Quality Assurance [QA] Lead/Lead Internal Verifier

• Ensures arrangements are in place for implementing the requirements of the practical endorsement appropriately and applying the standards appropriately.

Curriculum Leader

- Confirms understanding of the Practical Skills Endorsement for the A Level Sciences designed for use in England and ensures any relevant JCQ/awarding body instructions are followed
- Ensures where the Centre intends to enter candidates for the first time for one or more
 of the A level subjects, the relevant awarding body will be contacted at the beginning
 of the course
- Undertakes any training provided by the awarding body on the implementation of the practical endorsement
- Disseminates information to Subject Teachers ensuring the standards can be applied appropriately
- Liaises with all relevant parties in relation to arrangements for and conduct of a monitoring visit.

Subject Teacher

• Ensures all the JCQ/awarding body requirements/instructions in relation to the endorsement are known, understood and followed

- Ensures the required arrangements for practical activities are in place
- Provides all the required Centre records
- Ensures candidates provide the required records
- Provides any required information to the Curriculum Leader regarding the monitoring visit
- Assesses candidates using Common Practical Assessment Criteria [CPAC]
- Applies for an exemption where a candidate cannot access the practical endorsement due to a substantial impairment
- Follows the awarding body's instructions for the submission of candidates Pass or Not Classified assessment outcome/provides assessment outcomes to the Exams Officer to the internal deadline.

Exams Officer

- Accepts contact with the monitor and passes information to the Curriculum Leader for a visit to be arranged with at least two weeks' notice
- Confirms with the Subject Teacher that assessment outcomes have been submitted to the awarding body to the external deadline/Follows the awarding body's instructions for the submission of candidates Pass or Not Classified assessment outcome.

SPOKEN LANGUAGE ENDORSEMENT FOR GCSE ENGLISH LANGUAGE SPECIFICATIONS DESIGNED FOR USE IN ENGLAND

Head of Centre

 Returns an online 'Head of Centre declaration' at the time of the National Centre Number Register annual update, confirming that all reasonable steps have been or will be taken to ensure that all candidates at the Centre have had, or will have, the opportunity to undertake the Spoken Language endorsement.

Quality Assurance [QA] Lead/Lead Internal Verifier

• Ensures the appropriate arrangements are in place for internal standardisation of assessments.

Curriculum Leader

- Confirms understanding of the Spoken Language Endorsement for GCSE English Language specifications designed for use in England and ensures any relevant JCQ/awarding body instructions are followed
- Ensures the required task setting and task taking instructions are followed by Subject Teachers
- Ensures Subject Teachers assess candidates, either live or from recordings, using the common assessment criteria
- Ensures for monitoring purposes, audio-visual recordings of the presentations of a sample of candidates are provided.

Subject Teacher

- Ensures all the requirements in relation to the endorsement are known and understood
- Follows the required task setting and task taking instructions
- Assesses candidates, either live or from recordings, using the common assessment criteria
- Provides audio-visual recordings of the presentations of a sample of candidates for monitoring purposes
- Follows the awarding body's instructions for the submission of grades [Pass, Merit, Distinction or Not Classified] and the storage and submission of recordings.

Exams Officer

• Follows the awarding body's instructions for the submission of grades and recordings.

Private Candidates

Curriculum Leader

- According to Centre policy, confirms if private candidates [including distance learners and home educated candidates] are accepted by the Centre for entry for subjects containing components of Non-Examination Assessment [where the specification may be made available to private candidates by the awarding body]
- Ensures relevant staff in the Centre administer all aspects of the Non-Examination Assessment process for a private candidate, according to the awarding body's specification.

QUALIFICATION/SUBJECT SPECIFIC ADDITIONAL INFORMATION

This section provides additional information/procedures for planning and managing Non-Examination Assessments in specific subjects of qualifications.

GCSE Drama for OCR

JCQ Instructions for conducting Non-Examination Assessments is followed.

Devising Drama is internally assessed and is a NEA assessment, it has a performance and portfolio which may be written or filmed. Teachers are not permitted to provide a question paper or writing frames for the portfolio.

Presenting and Performing Texts is externally assessed and is an NEA assessment and contains performances from a published script in front of a visiting examiner. Students also complete a concept pro-forma to explain their performances.

What teachers can do:

"provide detailed guidance to learners in relation to the purpose and requirement of the task. The teacher should ensure that learners are clear about the assessment criteria which they are expected to meet and the skills which they need to demonstrate in the task. Any explanation or interpretation given by teachers must be general and not specific to learners' work".

Drafting

What teachers can do:

"Teachers may review work before it is handed in for final assessment. Advice must remain general, enabling learners to take the initiative in making amendments. One review should be sufficient to enable learners to understand the demands of the assessment criteria".

What teachers cannot do:

"Teachers may not give detailed advice and suggestions as to how the work may be improved in order to meet the assessment criteria. This includes indicating errors or omissions and intervening to improve the presentation or content of the work. This includes corrections to spelling, punctuation and grammar".

Feedback on rehearsals and performances

"In addition to advice in the component guidance section, teachers may offer general advice to learners during rehearsals on the following:

- suitability of the acting space
- technical facilities available
- the need for a professional working relationship between actors, designers and directors
- appropriateness of rehearsal schedules
- health and safety issues that may arise

Teachers may also offer general feedback on one rehearsed performance during the rehearsal period. Advice must remain general, enabling learners to take the initiative in making amendments. Teachers may not give detailed advice, suggestions as to how the performance may be improved in order to meet the assessment criteria".

Food preparation and Nutrition AQA GCSE

Food preparation and Nutrition AQA GCSE

Normally each candidate undertakes two independent investigations, one food science, one project which contains sections of research, planning, cooking and evaluations. The exam board provide the NEA briefs.

This year summer 2021. AQA have cut out the food science NEA so students will be coming one NEA only.

Candidates may be given general guidance but not one to one feedback or advice.

NEA's are secure documents.

Design Technology AQA GCSE

Normally each candidate undertakes one independent investigation, one project which contains sections of research, design, planning, construction and evaluations. The exam board provide the NEA briefs.

This year summer 2021. AQA have cut out the construction section of the NEA.

Candidates may be given general guidance but not one to one feedback or advice.

NEA's are secure documents.

Geography

Students are required to complete a minimum of four days of fieldwork. This fieldwork must relate to processes in both physical and human geography.

The independent investigation may relate to human or physical geography or it may integrate them. The independent investigation must:

- Be based on a question or issue defined and developed by the student individually to address aims, questions and/or hypotheses relating to any of the compulsory or optional content
- Incorporate field data and/or evidence from field investigations, collected individually or in groups
- Draw on the student's own research, including their own field data and, if relevant, secondary data sourced by the student
- Require the student independently to contextualise, analyse and summarise findings and data
- Involve the individual drawing of conclusions and their communication by means of extended writing and the presentation of relevant data.

Teachers can:

- Provide broad parameters for candidates' investigation proposals [including themes from the specification, locations, availability of equipment, time constraints]
- Explain what independence means [see table in Appendix 6]
- Advise on health and safety considerations, the use of equipment and potential ethical concerns
- Discuss with candidates their initial exploratory planning and tentative investigation titles.

Teachers must:

- Confirm that the provisional title has the potential to meet the assessment criteria and offer general guidance on any necessary amendments
- Review each candidate's Geography Independent Investigation Form. Within this review teacher should ensure that the proposed investigation can suitably access the

- specification requirements and give general guidance on the methodology and analytical tools that the candidate plans to use
- Promote good practice such as referencing and using a bibliography system
- Store work securely once it is handed in for formal assessment.

Teachers must not:

- Provide candidates with a choice of titles or tasks from which candidates then choose
- Give detailed feedback to individual candidates about how to improve work to meet the
 assessment criteria. The guidance provided prior to final submission should only
 enable candidates to take the initiative in making amendments, rather than detailing
 what amendments should be made. This means that teachers must not provide
 templates and model answers for the work of specific candidates
- Mark work provisionally and share that mark so that the candidate may then improve it
- Return work to candidates after it has been submitted and marked
- Give guidance on how to make improvements to the draft in order to meet the assessment criteria so that candidates are no longer engaged in independent learning.

History

Teachers should provide students with a short skills-based course of study that covers the work of historians in creating interpretations and approaches to the analysis and evaluation of historical interpretations. The coursework task must not duplicate coverage of the historical interpretations section studied for Paper 1. Teachers should ensure that assignments are relevant and appropriate to the student's course of learning. Students should have the opportunity to choose works relevant to the chosen question, problem or issue. Teachers may reuse assignment questions provided students have access to sufficient works to enable them to make a choice as to which they compare.

Teachers must:

- check that the works that students choose will provide sufficient evidence for them to make a satisfactory response to the question before students begin the writing phase. Students must undertake their research independent
- check the works selected by students to ensure that they will enable the student to complete the assignment
- Exercise sufficient supervision to be satisfied that the research is being undertaken independently and that students remain focused on the assignment
- review the student's first complete draft. The guidance may indicate to students if any element of the task requirements is absent or given insufficient attention. Guidance must remain 'general', which is defined as guidance that enables students to use their initiative in making amendments and improvements independently.

Teachers may:

help students to understand rubrics and assessment criteria

Teachers must not:

- give detailed feedback to individual students about how to improve work to meet the assessment criteria. The guidance:
 - provided prior to final submission should only enable students to take the initiative in making amendments, rather than detailing what amendments should be made. This means that teachers cannot provide templates and model answers for the question the students are completing

- o mark work provisionally with a view to sharing that mark with students so that they may then improve it
- o return work to students to make changes after it has been marked

GCSE English Language:

All students must complete a Spoken Language Assessment, which does not contribute towards their grade but assesses the proficiency of their Speaking and Listening. Students are assessed by teachers, with a sample to be recorded audio-visually and submitted for moderation by AQA.

A Level Media Studies:

Students must complete a statement of intent and a cross-media production for an intended audience. They have the choice of one of six annually changing briefs set by AQA. The project is worth 60 marks [30% of the A Level]. It is assessed by teachers and moderated by AQA.

A Level English Literature:

Students must study two texts [one poetry and one prose text, informed by study of the AQA Critical Anthology]. They must write two essays of 1250 – 1500 words, each responding to a different text and linking to a different aspect of the anthology. It is worth 50 marks [20% of the A level]. It is assessed by teachers and moderated by AQA.

MANAGEMENT OF ISSUES AND POTENTIAL RISKS ASSOCIATED WITH NON-EXAMINATION ASSESSMENTS

Issue/Risk	Centre Actions to Manage Issue/Mitigate Risk	Action By	
Centre staff malpractice	Records confirm that relevant Centre staff are familiar with and follow:	Exams Officer	
	 the current JCQ publication Instructions for conducting Non-Examination Assessments 		
	 the JCQ document Notice to Centres - Sharing NEA material and candidates' work - www.jcq.org.uk/exams- office/non-examination-assessments 		
Candidate malpractice	Records confirm that candidates are informed and understand they must not:	Exams Officer	
	submit work which is not their own		
	 make available their work to other candidates through any medium 		
	 allow other candidates to have access to their own independently sourced material 		
	assist other candidates to produce work		
	 use books, the internet or other sources without acknowledgement or attribution 		
	submit work that has been word processed by a third party without acknowledgement		
	include inappropriate, offensive or obscene material		
	Records confirm that candidates have been made aware of the JCQ documents Information for candidates - Non- Examination Assessments and Information for candidates - Social Media - www.jcq.org.uk/exams-office/information-for-		
	<u>candidates-documents</u> and understand they must not post their work on social media		
	Task Setting		
Awarding Body Set Task: IT failure/corruption of	Awarding body key date for accessing / downloading set task		
task details where set task	noted prior to start of course IT systems checked prior to key date	IT Manager	
details accessed from the	Alternative IT system used to gain access	J	
awarding body online	Awarding body contacted to request direct email of task details		
Centre Set Task:	Ensures that subject teachers access awarding body training	Curriculum	
Subject Teacher fails to	information, practice materials etc.	Leader [CL]	
meet the assessment criteria as detailed in the	Records confirmation that subject teachers understand the task setting arrangements as defined in the awarding body's		
specification	specification		
	Samples assessment criteria in the Centre set task	61: . = 1	
Candidates do not understand the marking criteria and What they	A simplified version of the awarding body's marking criteria described in the specification that is not specific to the work of an individual Candidate or group of candidates is produced	Subject Teacher	
need to do to gain credit	for candidates Records confirm all candidates understand the marking		
	criteria Candidates confirm/record they understand the marking		
Subject Teacher long term	Criteria See Contro's Evam Contingency Plan Teaching staff	CL/SLT	
Subject Teacher long term absence during the task setting stage	See Centre's Exam Contingency Plan - Teaching staff extended absence at key points in the exam cycle	CL/3L1	
Issuing of Tasks			
Awarding body set task not issued to candidates on time	Awarding body key date for accessing set task as detailed in the specification noted prior to start of course Course information issued to candidates contains details	CL	
on time	when set task will be issued and needs to be completed by Set task accessed well in advance to allow time for planning,		
The wrong task is given to	resourcing and teaching Ensures course planning and information taken from the		
candidates	awarding body's specification confirms the correct task will be issued to candidates	CL	
	Awarding body guidance sought where this issue remains unresolved		

Issue/Risk	Centre Actions to Manage Issue/Mitigate Risk	Action By
Subject Teacher long term absence during the issuing of tasks stage	See Centre's exam contingency plan - Teaching staff extended absence at key points in the exam cycle	CL/SLT
A candidate [or parent/carer] expresses concern about safeguarding, confidentiality or faith in undertaking a task such as a presentation that may be recorded	Ensures the candidate's presentation does not form part of the sample which will be recorded Contacts the awarding body at the earliest opportunity where unable to record the required number of candidates for the monitoring sample	CL/SLT
	Task taking	
Diameter in the second	Supervision	CI
Planned assessments clash with other Centre or candidate activities	Assessment plan identified for the start of the course Assessment dates/periods included in Centre wide calendar	CL
Rooms or facilities inadequate for candidates to take tasks under appropriate supervision	Timetabling organised to allocate appropriate rooms and IT facilities for the start of the course Staggered sessions arranged where IT facilities insufficient for number of candidates Whole cohort to undertake written task in large exam venue at the same time [exam conditions do not apply]	CL
Insufficient supervision of candidates to enable work to be authenticated	Confirm subject teachers are aware of and follow the current JCQ publication Instructions for conducting Non-Examination Assessments and any other specific instructions detailed in the awarding body's specification in relation to the supervision of candidates Confirm subject teachers understand their role and responsibilities as detailed in the Centre's Non-Examination Assessment policy	CL
A candidate is suspected of malpractice prior to submitting their work for assessment	Instructions and processes in the current JCQ publication Instructions for conducting Non-Examination Assessments [section 9 Malpractice] are followed An internal investigation and where appropriate internal disciplinary procedures are followed	Exams Officer Line Manager [Senior Leader]
Access arrangements were not put in place for an assessment where a candidate is approved for arrangements	Relevant staff are signposted to the JCQ publication A guide to the special consideration process [section 2], to determine the process to be followed to apply for special consideration for the candidate	CL/SENCo
	Advice and Feedback	
Candidate claims appropriate advice and feedback not given by Subject Teacher prior to starting on their work	Ensures a Centre-wide process is in place for subject teachers to record all information provided to candidates before work begins as part of the Centre's quality assurance procedures Regular monitoring of subject teacher completed records and sign-off to confirm monitoring activity Full records kept detailing all information and advice given to candidates prior to starting on their work as appropriate to the subject and component Candidate confirms/records advice and feedback given prior to starting on their work	CL/SLT
Candidate claims no advice and feedback given by Subject Teacher during the task-taking stage	Ensures a Centre-wide process is in place for subject teachers to record all advice and feedback provided to candidates during the task-taking stage as part of the Centre's quality assurance procedures Regular monitoring of subject teacher completed records and sign-off to confirm monitoring activity Full records kept detailing all advice and feedback given to candidates during the task-taking stage as appropriate to the subject and component Candidate confirms/records advice and feedback given during the task-taking stage	CL/SLT
A third party claims that assistance was given to candidates by the Subject Teacher over and above that allowed in the	An investigation is conducted; candidates and subject teacher are interviewed and statements recorded where relevant Records as detailed above are provided to confirm all assistance given Where appropriate, a suspected malpractice report is submitted to the awarding body	Exams Officer Line Manager [Senior Leader]

Issue/Risk	Centre Actions to Manage Issue/Mitigate Risk	Action By
regulations and specification		
Candidate does not reference information from published source	Candidate is advised at a general level to reference information before work is submitted for formal assessment Candidate is again referred to the JCQ document Information for candidates: Non-Examination Assessments Candidate's detailed record of his/her own research, planning, resources etc. is regularly checked to ensure continued completion	SLT
Candidate does not set out references as required	Candidate is advised at a general level to review and re-draft the set out of references before work is submitted for formal assessment Candidate is again referred to the JCQ document Information for candidates: Non-Examination Assessments Candidate's detailed record of his/her own research, planning, resources etc. is regularly checked to ensure continued completion	SLT
Candidate joins the course late after formally supervised task taking has started	A separate supervised session[s] is arranged for the candidate to catch up	SLT
Candidate moves to another Centre during the course	Awarding body guidance is sought to determine what can be done depending on the stage at which the move takes place	Exams Officer
An excluded pupil wants to complete a non-examination assessment[s]	The awarding body specification is checked to determine if the specification is available to a candidate outside mainstream education If so, arrangements for supervision, authentication and marking are made separately for the candidate At the discretion of the Headteacher Resources	Headteacher
A candidate augments notes and resources between formally supervised sessions	Preparatory notes and the work to be assessed are collected in and kept secure between formally supervised sessions. Where memory sticks are used by candidates, these are collected in and kept secure between formally supervised sessions. Where work is stored on the Centre's network, access for candidates is restricted between formally supervised sessions.	CL/SLT
A candidate fails to acknowledge sources on work that is submitted for assessment	Candidate's detailed record of his/her own research, planning, resources etc. is checked to confirm all the sources used, including books, websites and audio/visual resources Awarding body guidance is sought on whether the work of the candidate should be marked where candidate's detailed records acknowledges sources appropriately Where confirmation is unavailable from candidate's records, awarding body guidance is sought and/or a mark of zero is submitted to the awarding body for the candidate	SLT
A candidate is penalised	Word and Time Limits Records confirm the awarding body specification has been	CL
by the awarding body for exceeding word or time limits	checked to determine if word or time limits are mandatory Where limits are for guidance only, candidates are discouraged from exceeding them Candidates confirm/record any information provided to them on word or time limits is known and understood Collaboration and Group Work	
Candidates have worked in groups where the awarding body specification states this is not permitted	Records confirm the awarding body specification has been checked to determine if group work is permitted Awarding body guidance sought where this issue remains unresolved	CL/SLT
A to a decide to the	Authentication Procedures	
A teacher has doubts about the authenticity of the work submitted by a candidate for internal assessment	Records confirm subject staff have been made aware of the JCQ document Notice to Centres - Sharing NEA material and candidates' work Records confirm that candidates have been issued with the current JCQ document Information for candidates: Non-Examination Assessment	Exams Officer Line Manager [Senior Leader]

Issue/Risk	Centre Actions to Manage Issue/Mitigate Risk	Action By
Candidate plagiarises other material	Candidates confirm/record that they understand what they need to do to comply with the regulations for Non-Examination Assessments as outlined in the JCQ document Information for candidates: Non-Examination Assessments The candidate's work is not accepted for assessment A mark of zero is recorded and submitted to the awarding body	
Candidate does not sign their Authentication statement / declaration	Records confirm that candidates have been issued with the current JCQ document Information for candidates: Non-Examination Assessments Candidates confirm/record they understand what they need to do to comply with the regulations as outlined in the JCQ document Information for candidates: Non-Examination Assessments Declaration is checked for signature before accepting the work of a candidate for formal assessment	CL/SLT
Subject Teacher not available to sign authentication forms	Ensures a Centre-wide process is in place for subject teachers to sign authentication forms at the point of marking candidates work as part of the Centre's quality assurance procedures	CL
	Presentation of Work	
Candidate does not fully complete the awarding body's cover sheet that is attached to their worked submitted for formal assessment	Cover sheet is checked to ensure it is fully completed before accepting the work of a candidate for formal assessment	SLT
	Keeping Materials Secure	
Candidates work between formal supervised sessions is not securely stored	Records confirm subject teachers are aware of and follow current JCQ publication Instructions for conducting Non- Examination Assessments Regular monitoring/internal audit ensures subject teacher use of appropriate secure storage	CL/SLT
Adequate secure storage not available to Subject Teacher	Records confirm adequate/sufficient secure storage is available to subject teacher prior to the start of the course Alternative secure storage sourced where required	CL
Candidates work produced electronically is not securely stored	Records confirm subject teachers are aware of and follow current JCQ publication Instructions for conducting Non-Examination Assessments Internal processes and regular monitoring/internal audit by IT Manager ensures:	CL/IT Manager
	 access to this material is restricted [Password protected] 	
	 appropriate security safeguards are in place [Usernames and passwords for the exam are given out moments before the exam starts and accounts are disable as soon as it finishes] 	
	 an effective back-up strategy is employed so that an up to date archive of candidates' evidence is maintained [Work is copied from the exam user's profile and stored on a network drive and then sent to the exams officer. We keep the work stored until the exams officer has informed us they have everything they need. Then the work is deleted from our network drive] 	
	Any sensitive digital media is encrypted [according to awarding body guidance to ensure that the method of encryption is suitable] to ensure the security of the data stored within it [The network drive we save work exam work to is only accessible to the IT Team and all exam accounts are disabled as soon as the exam has finished to ensure there are no changes to work after the exam finish time. Any exam work is encrypted and transferred onto USB stick]	
A candidate is absent on	Task Marking - Externally Assessed Components Awarding body guidance is sought to determine if alternative	CL
the day of the examiner visit for an acceptable reason	assessment arrangements can be made for the candidate If not, eligibility for special consideration is explored and a request submitted to the awarding body where appropriate	CL

Issue/Risk	Centre Actions to Manage Issue/Mitigate Risk	Action By
A candidate is absent on the day of the examiner visit for an unacceptable reason	The candidate is marked absent on the attendance register	CL
	Task Marking - Internally Assessed Components	
A candidate submits little or no work	Where a candidate submits no work, the candidate is recorded as absent when marks are submitted to the awarding body Where a candidate submits little work, the work produced is assessed against the assessment criteria and a mark allocated appropriately; where the work does not meet any of the assessment criteria a mark of zero is submitted to the awarding body	Lead Internal Verifier
A candidate is unable to finish their work for unforeseen reason	Relevant staff are signposted to the JCQ publication A guide to the special consideration process [section 5], to determine eligibility and the process to be followed for shortfall in work	SLT
The work of a candidate is lost or damaged	Relevant staff are signposted to the JCQ publication Instructions for conducting Non-Examination Assessments [section 8], to determine eligibility and the process to be followed for lost or damaged work	CL
Candidate malpractice is discovered	Instructions and processes in the current JCQ publication Instructions for conducting Non-Examination Assessments [section 9 Malpractice] are followed Investigation and reporting procedures in the current JCQ publication Suspected Malpractice: Policies and Procedures are followed Appropriate internal disciplinary procedures are also followed	Exams Officer Line Manager [Senior Leader]
A teacher marks the work of a candidate with whom they have a close relationship e.g. members of their family [which includes step-family, foster family and similar close relationships] or close friends and their immediate family [e.g. son/daughter]	A conflict of interest is declared by informing the awarding body that a teacher is preparing/teaching said child at the start of the course Marked work of said child is submitted for moderation whether part of the sample requested or not	Exams Officer Line Manager [Senior Leader]
An extension to the deadline for submission of marks is required for a legitimate reason	Awarding body is contacted to determine if an extension can be granted Relevant staff are signposted to the JCQ publication A guide to the special consideration process [section 5], to determine eligibility and the process to be followed for Non-Examination Assessment extension	CL/SLT
After submission of marks, it is discovered that the wrong task was given to candidates	Awarding body is contacted for guidance Relevant staff are signposted to the JCQ publication A guide to the special consideration process [section 2], to determine eligibility and the process to be followed to apply for special consideration for candidates	CL/SLT
A candidate wishes to appeal/request a review of the marks awarded for their work by their teacher	Candidates are informed of the marks they have been awarded for their work prior to the marks being submitted to the awarding body Records confirm candidates have been informed of their marks Candidates are informed that these marks are subject to change through the awarding body's moderation process Candidates are informed of their marks to the timescale identified in the Centre's internal appeals procedure and prior to the internal deadline set by the exams officer for the submission of marks Through the candidate exam handbook, candidates are made aware of the Centre's internal appeals procedures and timescale for submitting an appeal/request for a review of the Centre's marking prior to the submission of marks to the awarding body	CL / SLT / Exams Officer
Deadline for submitting work for formal	Records confirm deadlines given and understood by candidates at the start of the course Candidates confirm/record deadlines known and understood	CL

Issue/Risk	Centre Actions to Manage Issue/Mitigate Risk	Action By
assessment not met by candidate	Depending on the circumstances, awarding body guidance sought to determine if the work can be accepted late for marking providing the awarding body's deadline for submitting marks can be met Decision made [depending on the circumstances] if the work will be accepted late for marking or a mark of zero submitted to the awarding body for the candidate	
Deadline for submitting marks and samples of candidates work ignored by Subject Teacher	Internal/external deadlines are published at the start of each academic year Reminders are issued through senior leaders/subject heads as deadlines approach Records confirm deadlines known and understood by subject teachers Where appropriate, internal disciplinary procedures are followed	Exams Officer Line Manager [Senior Leader]
Subject Teacher long term absence during the marking period	See Centre's Exam Contingency Plan [Teaching staff extended absence at key points in the exam cycle]	CL/SLT